



## Dive response differs between shallow- and deep-diving Steller sea lions (*Eumetopias jubatus*)

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### ABSTRACT

Muscle exercise correlates with oxygen use, tissue perfusion and heart rate ( $f_H$ ) in terrestrial animals, but the relationship between these physiological processes is less clear in diving animals. We found the mean heart rate of Steller sea lions trained to voluntarily dive to depths up to 40 m dropped by 40% while diving, and noted that mean bradycardia was 9% greater during shallow (10 m) compared to deep (40 m) dives. Longer dives resulted in lower heart rates, but only when they were shallow; on the other hand, minimum instantaneous  $f_H$  decreased consistently with dive duration. In general, instantaneous  $f_H$  did not reflect activity over short timescales. Our data suggest that our sea lions invoked a different dive response depending on whether they dove to shallow or deep depths. During shallow (10 m) dives only, the correlation between activity and  $f_H$  was indicative of vascular compromise between diving and exercise. However, during deep dives (40 m), there was no such correlation, suggesting that locomotory activity was uncoupled from dive bradycardia, which was possibly mediated by an absence of blood flow to active muscle. For both diving scenarios, surface  $f_H$  correlated with dive activity, suggesting that some underwater locomotory costs were deferred to the post-dive surface interval. Ultimately, our data support the speculation that Steller sea lion locomotory muscles become hypoxic during diving, regardless of dive depth.

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### 1. Introduction

The relationship between diving locomotory activity and heart rate ( $f_H$ ) has been an area of particular research interest given the seemingly contrary cardiovascular responses of diving animals to eupneic exercise and apneic diving. Indeed, a cardiovascular response to exercise, which facilitates oxygen ( $O_2$ ) transport and use in active tissues (Brooks and White, 1978) is fundamentally at odds with the dive response that is designed to regulate  $O_2$  consumption (Castellini et al., 1985; Hochachka, 1986; Davis et al., 2004). For animals exercising on land (Jones et al., 1989) and at the water surface (Fish, 1982; Feldkamp, 1987; Rosen and Trites, 2002), muscle work is an important determinant of  $O_2$  consumption rates. Further, circulatory adjustments manage blood flow to contracting muscles in proportion to exercise output (Armstrong et al., 1987; Delp and Laughlin, 1998) such that exercise metabolism is strongly related to  $f_H$  (Baudinette, 1978). Air-breathing divers swimming in a flume demonstrate a typical exercise response (i.e., tachycardia, increased  $O_2$  consumption,

peripheral vasodilation; Castellini et al., 1985; Butler, 1988; Davis et al., 1991; Ponganis et al., 1991). By contrast, a decline in  $f_H$  during diving (i.e., bradycardia) is a primary component of the Scholander–Irving dive response (Scholander, 1940; Irving et al., 1941; Scholander et al., 1942) and has been documented in a variety of diving animals. Along with increased peripheral resistance, the presumed aim of such vascular responses is to conserve finite on-board  $O_2$  for critical organs since these  $O_2$  stores fuel underwater excursions (Butler and Jones, 1997; Davis et al., 2004).

Heart rate is the most commonly measured variable of the dive response, as it is relatively easy to telemeter from a free-diving animal (Ponganis, 2007). As a result of this, and given the apparent relationship between heart rate and whole-body  $O_2$  use in air, many researchers have made a case for using  $f_H$  as an indirect measure of free-ranging metabolic rate (e.g., Butler and Woakes, 1982; Butler, 1993; Boyd et al., 1999; Green et al., 2001; McPhee et al., 2003). However, the relationships between activity, metabolic expenditure, and heart rate during apneic exercise are likely complicated by the cardiovascular adjustments associated with the dive response. Studies exploring voluntary or trained diving reveal that the dive response can be modulated by many factors including dive characteristics (Hill et al., 1987), body temperature (Hindle et al., 2006) and activity level (Davis et al., 2004). It is likely that diving in free-ranging vertebrates occupies a physiological middle-ground between the classic dive response (observed during forced submersions) and eupneic exercise

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(Castellini et al., 1985). It is not clear, however, what the outcome of such a physiological compromise would be on the management of body  $O_2$  stores. Heart rate is a mechanistic indicator of whole-body changes in blood flow and thereby tissue  $O_2$  delivery. In particular, the regulation of blood flow to exercising muscle could illustrate the compromise between diving and exercise should it exist; however blood flow to muscle vascular beds has not been directly monitored in a free-ranging diving vertebrate to date.

There is mixed evidence from indirect measures (blood  $O_2$  partial pressure measurements from free-ranging translocated seals) and direct measures from other experimental scenarios (trained diving, simulated diving and simulation modeling) which suggests that a range of perfusion levels to working muscle is possible (Zapol et al., 1979; Davis et al., 1983; Bevan and Butler, 1992; Davis and Kanatous, 1999; Wright and Davis, 2006; Meir et al., 2009; Meir and Ponganis, 2010) or that it may be entirely absent (Ponganis et al., 2003; Meir et al., 2008). If locomotory muscle remains at least partially perfused during diving, we anticipate a correlation between underwater locomotion and diving  $f_H$ . On the other hand, for species in which there is likely no blood flow to muscle during diving (e.g., emperor penguins, Ponganis et al., 2003; Meir et al., 2008), measures of exercise output (e.g., stroke frequency) and  $f_H$  should be independent over the dive period (Meir et al., 2008).

Our study objective was to determine the relationship between  $f_H$  and underwater locomotion in a diving mammal, the Steller sea lion (*Eumetopias jubatus*, Schreber). Specifically, we examined diving  $f_H$  and activity (tri-axial acceleration and flipper stroking) in trained sea lions foraging in the open ocean to different depths. We also compared the patterns of diving bradycardia over single dives (with complete surface recovery of body  $O_2$  stores) and dive bouts (having incomplete inter-dive  $O_2$  recovery).

## 2. Materials and methods

### 2.1. Animals

Heart rate and tri-axial acceleration were recorded from three female Steller sea lions diving to 10 m and 40 m during August and September, 2008. Animals were housed in a purpose-built floating seawater pen located in a coastal inlet (Port Moody, British Columbia, Canada; 49°17'25"N, 122°54'55"W; Hastie et al., 2006a). The animals had been previously trained to voluntarily dive to depths up to 40 m. Sea lions ranged in age from 8 years (F00BO: 145.3 ± 2.1 kg; mean ± SE for this individual over the course of the study) to 11 years (F97SI: 217.6 ± 1.8 kg; F97HA: 172.2 ± 0.2 kg). All animal handling and experimental procedures were conducted in accordance with regulations of the Canadian Council on Animal Care (University of British Columbia animal use permit #A04-0311), Department of Fisheries and Oceans Canada (MML 2007-001) and the Vancouver Aquarium.

### 2.2. Telemetry

Heart rate (R–R interval) was measured continuously via HRX transmitter and HTR data logger (Wildlife Computers, Redmond, WA, USA). Under isoflurane anesthesia (0–5%), animals were outfitted prior to each trial with two temporary subcutaneous  $f_H$  electrodes (sterile 30-Ga Teflon-coated silver wire) placed caudal to the front flippers at the level of the heart, approximately 25 cm lateral of the dorsal midline (see Young et al., in press for details). Electrode leads were affixed to the sea lion's fur using neoprene strips and superglue (Loctite, Westlake, OH, USA), and all transmitters and data loggers were hard-mounted to a harness worn by the animals. Transmission of  $f_H$  from the HRX to the HTR data logger was periodically verified using a Polar brand  $f_H$  receiver watch (Polar Electro Canada Inc., Lachine, QC, Canada).

The sea lion harnesses were additionally outfitted with Mk-10-V data loggers (Wildlife Computers) which recorded depth, temperature, light level, wet-dry state, velocity, and tri-axial acceleration. Each harness was fitted specifically to the individual animal to minimize drag and noise in the acceleration data associated with a loose-fitting harness. Acceleration data was used to identify fore-flipper stroking as well as to calculate an integrated 3-dimensional measure of body motion (overall dynamic body acceleration, ODBA). Body heave (sampled at 64 Hz) was used to detect individual fore-flipper strokes. ODBA (g; Wilson et al., 2006) was determined from the 3-channel sum of dynamic acceleration, calculated as the absolute value difference between static (data smoothed with a three second running mean, per Shepard et al., 2008) and total (unsmoothed) acceleration values at 16 Hz.

### 2.3. Trial protocol

Dive trials were conducted in the open ocean either immediately adjacent to the sea lion holding pens, or at a dive site in a nearby fjord (49°19'30"N, 122°55'30"W). Sea lions were transported to the dive site in a 6.7 m transport boat (see Hastie et al., 2006a for complete description of research area). Trials (or transport) began immediately following electrode insertion and complete recovery from anesthesia. Modified bilge-pump systems delivered fish (20 g herring pieces) through two separate PVC feeding tubes at a set depth (both to 10 or 40 m). Feeding tubes were affixed to a floating research platform able to be towed to research moorings in different water depths. These feeding tubes were spaced ~6 m (about 3 body lengths) apart to encourage active swimming at depth. Trials were randomized and repeated three times at each depth (10 m, 40 m) for each animal, with a maximum of one trial per animal per day ( $n = 6$  trials per animal). Aside from set surface times at the start and end of a bout the Steller sea lions controlled their own dive and surface interval durations.

The sea lions were trained from an early age to perform basic behaviors under trainer control. These three individuals had also been working in the open water for three (F97HA) to five (F97SI & F00BO) years, which included familiarization with diving to feeding tubes at varied depths (see Hastie et al., 2006a for additional details). We provided study-specific training (i.e. mock trials) for approximately three weeks prior to data collection. Each trial consisted of a resting period at the surface followed by two dive types: a single dive with a complete post-dive surface recovery period (~6 min); then a bout consisting of four dives to the same depth followed by a similar recovery period. Previous studies have revealed that this post-dive recovery time is sufficient for  $O_2$  consumption rates to return to pre-dive baseline (Hastie et al., 2006b). For 40 m dive trials only, sea lions performed an additional single dive of longer duration ( $n = 8$  pairs; encouraged via increased fish delivery rates) with full recovery at the end of the trial. We were unable to analyze several trial portions due to poor quality  $f_H$  data. Sample sizes therefore differed between 10-m single dives ( $n = 9$ ), 10-m bout dives ( $n = 9$ ), 40-m single dives (short initial:  $n = 9$ , long final:  $n = 8$ ) and 40-m bout dives ( $n = 8$ ).

### 2.4. Data analyses and statistics

R–R intervals were filtered for motion artifact using a series of algorithms (described in detail in Young et al., in press). Mean  $f_H$  for different periods (pre-dive rest, diving, post-dive and dive-surface) was calculated as the average of instantaneous  $f_H$  across the relevant interval. Pre-dive resting rates were measured over the 2-min immediately prior to submergence, diving  $f_H$  was measured over the dive duration, and post-dive  $f_H$  was measured over the initial 30 s at the surface. In many cases, transient noise in the  $f_H$  dataset made it difficult to accurately determine when post-dive  $f_H$  reached pre-dive resting level. Our analysis of the cleaner traces revealed that  $f_H$  returned to this pre-dive baseline within 15–30 s of surfacing. We

therefore adopted a 30-s period of measurement for all dives. Dive-surface  $f_H$  was measured over the entire dive plus 30-s surface recovery period. For dive bouts,  $f_H$  means were taken across all underwater intervals (diving), all inter-dive surface intervals plus the final 30-s post-surface recovery (post-dive) or across the entire dive bout including the surface recovery period (dive-surface). Data were tested for normality using the Shapiro-Wilk statistic and homogeneity of variance was confirmed using a modified Levene test. Transformations were employed when necessary to meet the assumptions of parametric tests. Data were analyzed using SPSS statistical software (V 11.5.1, Chicago, IL, USA). Significance was set at  $\alpha=0.05$  and means are presented  $\pm 1$  SE.

Heart rates (mean  $f_H$  as well as minimum and maximum instantaneous  $f_H$ ) for the intervals described above were compared against dive parameters (log dive duration, depth and type). For dive bouts, we incorporated mean dive duration (rather than cumulative dive duration) into the analysis. These relationships, along with those between underwater activity and  $f_H$  were explored using linear mixed effect models. For the latter, the dependant variable  $f_H$  was compared against fore-flipper stroke rates and mean ODBA using dive type (single or bout) and dive depth (10 or 40 m) as fixed factors, and dive duration (log-transformed) as a covariate. 'Animal ID' was included as a random (repeated measures) factor. We compared portions of the same trials (single dive vs. bout, for example) using paired  $t$ -tests, and applied Bonferroni's correction factor for sequential and related tests (Rice, 1989) to  $p$ -values generated from groups of  $t$ -tests where parameters were not independent. The temporal development of bradycardia was also compared with instantaneous ODBA over the course of single dives in a representative animal. Finally, data were visualized using linear regression. Heart rate data were regressed against dive duration (log-transformed) and activity measures. When statistical analyses revealed significant differences associated with dive depth or type, regressions for these groups of data were considered separately.

### 3. Results

#### 3.1. Influence of dive characteristics on bradycardia

The duration (grand mean  $\pm$  SE) of all dives to 10 m was  $1.1 \pm 0.05$  min ( $n=18$ ), and that for all dives to 40 m was  $2.7 \pm 0.3$  min ( $n=25$ ). The grand mean for 40 m trials includes the additional single dive of longer duration ( $4.2 \pm 0.5$  min vs.  $2.1 \pm 0.1$  min for the initial single dive) at the end of the trial. The resting  $f_H$  for Steller sea lions was  $86.3 \pm 4.0$  beats  $\text{min}^{-1}$  at the water surface, and mean  $f_H$  was reduced during diving to  $51.6 \pm 2.0$  beats  $\text{min}^{-1}$  (40% overall reduction, range: 27.2–75.4 beats  $\text{min}^{-1}$ ,  $t=8.79$ ,  $df=21$ ,  $p<0.001$ ). We detected a greater reduction in mean  $f_H$  for 10 m dives compared to 40 m dives (difference of 9%;  $F_{1,37}=5.50$ ,  $p=0.03$ ). Dive type (single versus bout diving) was not a significant factor in describing diving  $f_H$  ( $p=0.86$ ), although  $f_H$  was slightly reduced in single dives compared to bout dives (4–7.5% reduction for all sea lions tested; Table 1). Over the dive-surface cycle (single dives and bouts combined), mean  $f_H$  was  $64.0 \pm 2.3$  beats  $\text{min}^{-1}$  (range: 39.0–83.9 beats  $\cdot$   $\text{min}^{-1}$ ). This incorporates  $f_H$  during the dive period described above, along with inter-dive surface periods for bouts, plus a 30-s post-dive surface recovery period (where  $f_H$  averaged  $100.2 \pm 2.3$  beats  $\text{min}^{-1}$ ). When the entire dive plus surface recovery interval was considered, dive type and depth no longer had a significant influence on mean  $f_H$ . During the 30-s interval immediately following diving, the maximum instantaneous  $f_H$  recorded was 200 beats  $\text{min}^{-1}$ . Neither maximum nor mean  $f_H$  during the post-dive surface interval were related significantly to either dive depth or type.

The minimum instantaneous  $f_H$  documented for diving Steller sea lions in our study was 4 beats  $\text{min}^{-1}$ . Despite the noted effect of dive depth on mean diving  $f_H$ , there was no overall effect of depth ( $p=0.88$ )

**Table 1**

Characteristics of diving bradycardia in three adult female Steller sea lions (*Eumetopias jubatus*).

	Single dives	Bout dives
Dive duration (min)		
Shallow	$1.2 \pm 0.1$	$1.0 \pm 0.1$
Deep	$2.1 \pm 0.1/4.2 \pm 0.5$	$1.7 \pm 0.1$
Dive $f_H$ (beats $\text{min}^{-1}$ )		
Shallow	$48.8 \pm 3.8$	$49.0 \pm 4.7$
Deep	$52.4 \pm 4.1/50.3 \pm 4.0$	$57.5 \pm 5.8$
Minimum $f_H$ (beats $\text{min}^{-1}$ )		
Shallow	$14.1 \pm 2.1$	$12.3 \pm 2.1$
Deep	$12.4 \pm 1.0/9.0 \pm 1.4$	$8.3 \pm 0.9$

Dive durations, diving heart rate ( $f_H$ ) and minimum instantaneous  $f_H$  are presented for single and bout dives (4 dives in series) to shallow (10 m) and deep (40 m) feeding stations. Trials consisted of 10-m single dives ( $n=9$ ), 10-m bout dives ( $n=9$ ), 40-m single dives (short initial:  $n=9$ , long final:  $n=8$ ), and 40-m bout dives ( $n=8$ ). Data are presented mean  $\pm$  SE.

on minimum observed  $f_H$  for each dive (grand mean =  $11.2 \pm 0.8$  beats  $\text{min}^{-1}$ , Table 1). Rather, for this variable we noted a significant influence of dive type ( $F_{1,33}=6.80$ ,  $p=0.01$ ). Specifically, a paired  $t$ -test revealed that bout dives at both depths contained lower minimum instantaneous  $f_H$  compared to the initial single dives undertaken in the same trials ( $t=3.47$ ,  $df=14$ ,  $p=0.008$ , Table 1). For the  $n=8$  trials to 40 m in which a longer single dive was also recorded, minimum instantaneous  $f_H$  was also significantly reduced compared to the initial (shorter) single dives ( $t=3.45$ ,  $p=0.018$ ; Table 1).

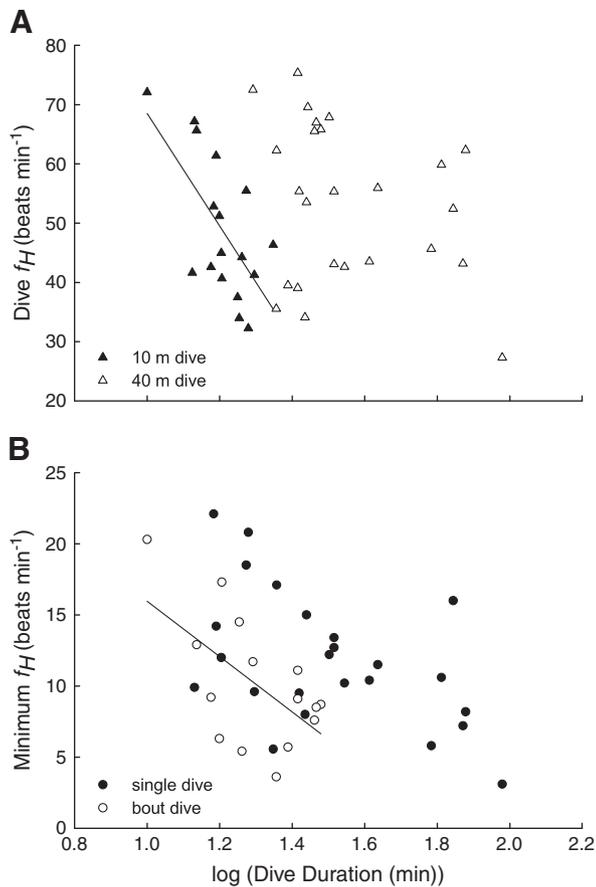
We noted a negative correlation between dive duration (log-transformed) and mean diving  $f_H$ , but only under specific conditions. In 10 m (shallow) dives, dive duration explained at least a portion of the variation in mean  $f_H$  underwater ( $r^2=0.42$ ,  $p=0.005$ ), and this relationship was similar in both single and bout dives (Fig. 1A). However, no significant correlations between dive  $f_H$  and dive duration were noted for 40 m (deep) dives of any type (Fig. 1A). Dive duration correlated negatively with minimum instantaneous  $f_H$  at both trial depths (Fig. 1B), but only in dive bouts ( $r^2=0.37$ ,  $p=0.02$ ). While a similar trend is apparent for single dives, this relationship was not statistically significant ( $r^2=0.14$ ,  $p=0.07$ ; Fig. 1B).

On the other hand, measures of post-dive tachycardia were, in general, positively correlated with dive duration (Fig. 2). The mean of post-dive  $f_H$  taken over the first 30 s after surfacing was significantly related to dive duration (log-transformed) for single dives ( $r^2=0.28$ ,  $p=0.01$ ) but not in dive bouts ( $p=0.96$ ; Fig. 2A). The maximum instantaneous  $f_H$  recorded during the surface period increased with dive duration (log-transformed); a relationship which was similar across all dive types and depths ( $r^2=0.12$ ,  $p=0.04$ ).

#### 3.2. Temporal development of diving bradycardia

Following submergence, instantaneous  $f_H$  dropped an average of 64% from pre-dive baseline (86 beats  $\text{min}^{-1}$ ) within the first few seconds of the 10 m dives. Over the same period only an average 14% reduction was noted in 40 m dives. Minimum instantaneous  $f_H$  occurred  $28.9 \pm 9.4$  s post-submergence in 10 m dives, on average, and  $44.5 \pm 3.2$  s post-submergence in 40 m dives. Heart rate remained consistently reduced in the shorter duration 10 m dives (Fig. 3A). In 40 m dives, transient  $f_H$  increases were observed periodically throughout submergence (Fig. 3B). Ascent tachycardia (a term describing the release of bradycardia which occurs prior to surfacing) occurred for both 10 and 40 m dives. Deeper dives had a longer period of ascent tachycardia (Fig. 3B) compared to shallow dives (Fig. 3A) culminating in a mean  $f_H$  of 84 beats  $\text{min}^{-1}$  immediately prior to surfacing.

The time course of diving activity as quantified by instantaneous ODBA did not track the temporal patterns observed in  $f_H$ . Activity was high in the first 2 s of diving, preceding a pronounced decline of ~85%



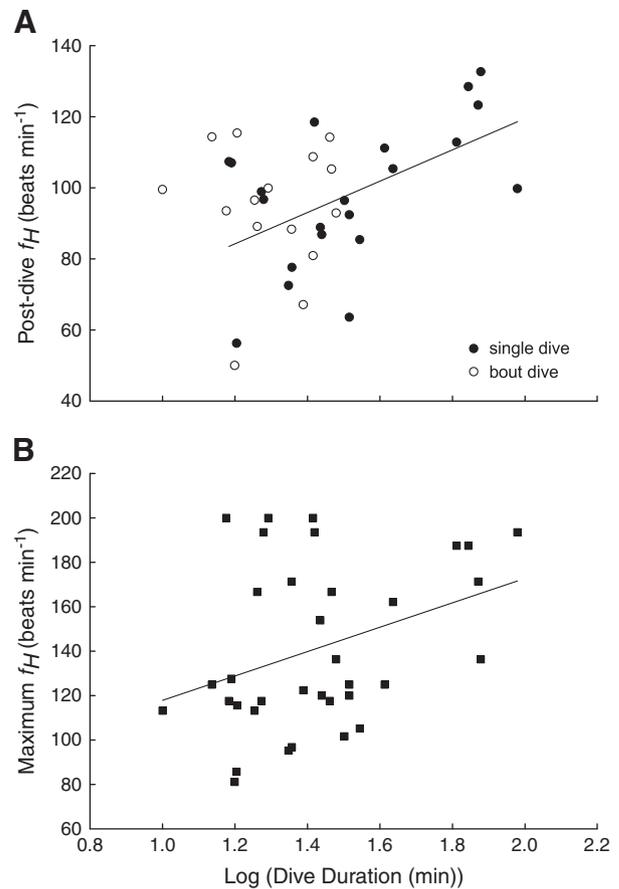
**Fig. 1.** Measures of dive bradycardia correlate with dive duration in three female Steller sea lions. Mean diving heart rate ( $f_H$ , panel A) had a significant negative linear relationship with dive duration (log-transformed) in 10 m dives (closed triangles,  $r^2=0.42$ ,  $p=0.005$ ), but not for 40 m dives (open triangles). Minimum instantaneous  $f_H$  (panel B) also demonstrated a negative linear relationship versus dive duration (log-transformed), which described bout dives (open circles,  $r^2=0.37$ ,  $p=0.02$ ) but not single dives (closed circles).

for the representative dives presented at both depths (Fig. 3). This decline was prolonged in the 40 m dive, and was followed by a considerable rise  $\sim 20$  s later corresponding to the dive's bottom-phase (Fig. 3B). Activity then fluctuated throughout the remaining underwater time, a pattern which was observed over the majority of the time course of 10 m dives. Contrary to the temporal pattern of  $f_H$ , and aside from a minor elevation in the final 3 s before surfacing, there was no clear elevation in ODBA during the ascent (Fig. 3).

### 3.3. Relationship between diving activity and heart rate

Mean ODBA was elevated by 18% in 10 m compared to 40 m dives ( $F_{1,39}=13.65$ ,  $p=0.001$ ); a difference which was not reflected in flipper stroke frequencies ( $p=0.10$ ). Neither variable was distributed by dive type. For the complete data set we noted a significant relationship between activity and  $f_H$  underwater for mean ODBA ( $F_{1,36}=4.46$ ,  $p=0.04$ ), but not for stroke frequency ( $p=0.91$ ; Fig. 4). This was driven by a significant positive linear relationship between ODBA and mean diving  $f_H$  ( $r^2=0.45$ ,  $p=0.003$ ) at 10 m only (Fig. 4A). Mean ODBA during the dive period was also weakly correlated with minimum instantaneous  $f_H$  ( $r^2=0.11$ ,  $p=0.04$ ; Fig. 4B) when all data were considered.

Underwater activity was correlated with  $f_H$  measures during the post-dive period. Mean  $f_H$  during the initial 30-s post-dive interval was significantly related to mean ODBA ( $F_{1,27}=4.58$ ,  $p=0.04$ ), resulting in a weak positive linear relationship ( $r^2=0.19$ ,  $p=0.01$ ; Fig. 5A). Likewise, maximum instantaneous  $f_H$  recorded during this



**Fig. 2.** Measures of post-dive tachycardia correlated with dive duration in three female Steller sea lions. The mean of post-dive heart rate ( $f_H$ ) taken over the first 30 s after surfacing (panel A) was significantly related to dive duration (log-transformed) in bout dives (open circles,  $r^2=0.37$ ,  $p=0.02$ ) but not for single dives (closed circles). Maximum instantaneous  $f_H$  (closed squares, panel B) also demonstrated a weakly positive linear relationship versus dive duration (log-transformed), which described the complete data set ( $r^2=0.12$ ,  $p=0.04$ ).

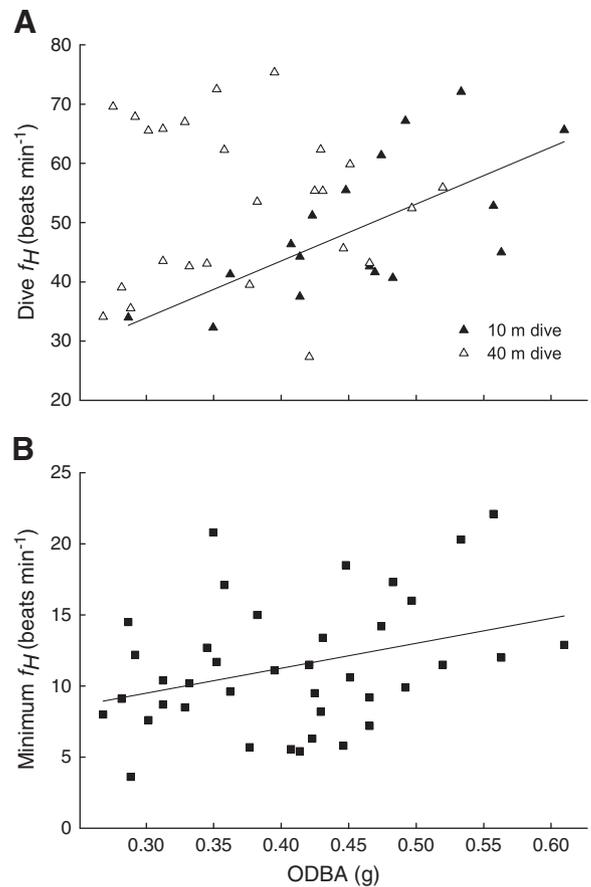
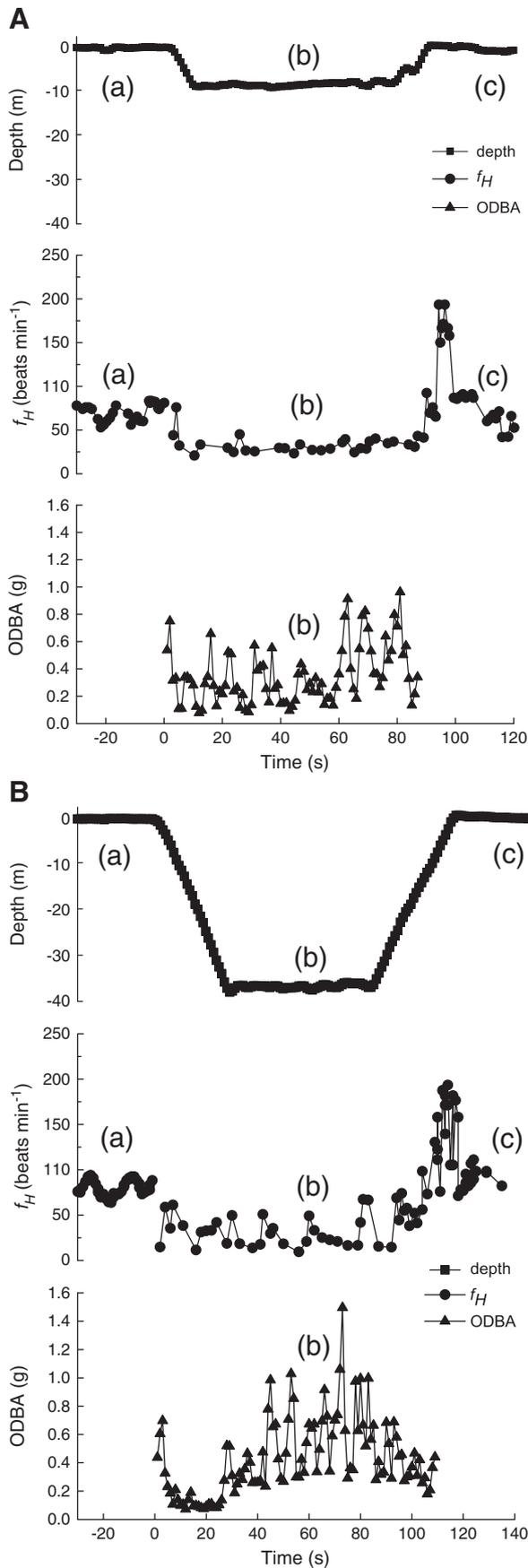
surface period was related to flipper stroke frequency ( $F_{1,28}=5.20$ ,  $p=0.03$ ), described by a similar positive linear relationship ( $r^2=0.15$ ,  $p=0.02$ ; Fig. 5B).

## 4. Discussion

### 4.1. Diving bradycardia in Steller sea lions

Steller sea lions displayed bradycardia during all dive trials (overall 40%  $f_H$  reduction compared to resting levels). This degree of bradycardia over a complete, unrestrained dive was less than the 64% reduction recorded for a free-diving phocid, the northern elephant seal (*Mirounga angustirostris*; Andrews et al., 1997), but was greater than the 20% average dive bradycardia recorded for California sea lions (*Zalophus californianus*; Ponganis et al., 1991). Consistent with other diving animals (e.g., Thompson and Fedak, 1993; Andrews et al., 1997; Meir et al., 2008), the Steller sea lions also routinely displayed ascent tachycardia prior to surfacing.

Extended dive durations correlate with a greater bradycardia in other diving mammals and birds (Hill et al., 1987; Thompson and Fedak, 1993; Hindle et al., 2006; Meir et al., 2008). Steller sea lion dive durations correlated negatively with minimum instantaneous  $f_H$ , particularly in dive bouts (Fig. 1B), indicating that the dive response was strengthened over dive time. While mean diving  $f_H$  correlated negatively with dive duration, this was valid only for shallow (10 m) dives (Fig. 1A). Furthermore, we documented a 9% greater bradycardia

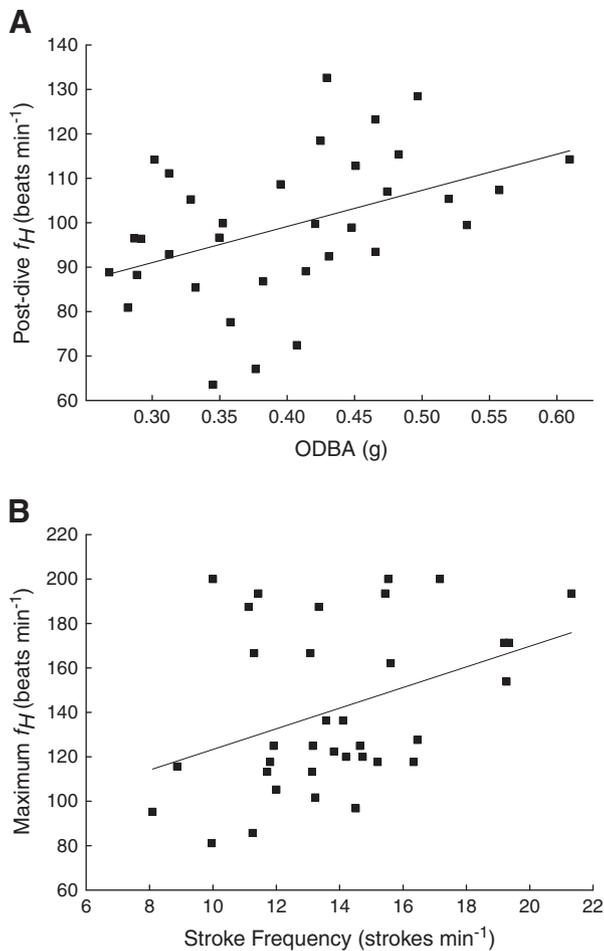


**Fig. 4.** Measures of dive bradycardia correlate with locomotory activity in three female Steller sea lions, under certain conditions. Mean diving heart rate ( $f_H$ , panel A) had a positive linear relationship with mean ODBA in 10 m dives (closed triangles,  $r^2=0.45$ ,  $p=0.003$ ) but not 40 m dives (open triangles). Minimum instantaneous  $f_H$  (closed squares, panel B) also demonstrated a weakly positive linear relationship with mean ODBA which described the complete data set ( $r^2=0.11$ ,  $p=0.04$ ).

during shallow (10 m) compared to deep (40 m) dives (Table 1), with no effect of dive depth on minimum recorded instantaneous  $f_H$ . This depth effect for mean diving  $f_H$  was counter to our expectations, since 40 m dives were generally longer.

Bradycardia was initiated upon submergence in all recorded dives regardless of depth. The absence of a dive duration effect in deep (40 m) dives may indicate a lack of bradycardia intensification via arterial chemoreceptor feedback as the dives progressed (Drummond and Jones, 1972; Elsner et al., 1977). This result is similar to that documented for double-crested cormorants (*Phalacrocorax auritus*) diving to 12 m (Enstipp et al., 2001), and could be mediated by the pressure effects of descent to depth. As explained for cormorants (Enstipp et al., 2001), the difference we noted in mean diving  $f_H$  could result from compression hyperoxia (Lanphier and Rahn, 1963; Qvist et al., 1986, 1993), in which elevated arterial  $\text{O}_2$  pressure ( $\text{PaO}_2$ ) impedes chemoreceptor influence on bradycardia. The predominantly gliding descents observed for Steller sea lions (Fig. 3B) will also reduce locomotor costs during this period, acting to keep  $\text{PaO}_2$  high. Significantly lower minimum instantaneous  $f_H$  in the longer 40 m dives suggest that as dive length progresses and  $\text{PaO}_2$  presumably falls, bradycardia does intensify (Drummond and Jones, 1972; Elsner

**Fig. 3.** The time courses of instantaneous heart rate ( $f_H$ ) and instantaneous ODBA (calculated to the nearest 1 s) are presented for a representative 10 m (panel A, dive duration = 71 s) and 40 m (panel B, dive duration = 105 s) single dive. For comparative purposes, instantaneous  $f_H$  is also presented for the 30 s periods immediately prior to (labeled 'a') and following ('c') the dive period ('b'). Corresponding dive depth is presented in the top portion of each panel.



**Fig. 5.** Measures of post-dive tachycardia correlate weakly with locomotory activity in three female Steller sea lions. The mean of post-dive heart rate ( $f_H$ ) taken over the first 30 s after surfacing (panel A) was related to mean ODBA ( $r^2=0.19$ ,  $p=0.01$ ). Maximum instantaneous  $f_H$  (panel B) demonstrated a weakly positive linear relationship versus mean stroke frequency ( $r^2=0.15$ ,  $p=0.02$ ).

et al., 1977). When averaged over the complete dive, however, early effects of compression could mask the response. Moreover, an extended period of ascent tachycardia in deep relative to shallow dives (Fig. 3) would also raise an average of  $f_H$  taken over the complete underwater period. It is possible that the 40 m dives recorded here were simply of insufficient length (i.e., lacked sufficient bottom time relative to the ascent and descent) to observe an effect of dive duration on bradycardia averaged over the entire dive.

No differences in mean diving  $f_H$  were observed for single dives compared to bout dives (Table 1). Further, the relationship between bradycardia and dive duration noted at 10 m (Fig. 1A) was similar for both single and bout dives. However, when single dives and bout dives within individual trials were considered as pairs, it was apparent that bout dives contained lower minimum instantaneous  $f_H$  (Table 1). As discussed above, this could reflect declining  $\text{PaO}_2$  and the contribution of arterial chemoreceptor feedback to a strengthened dive response over time (Drummond and Jones, 1972; Elsner et al., 1977), which is detectable in a minimum parameter such as instantaneous  $f_H$ , but not when  $f_H$  was averaged across an entire dive. This line of reasoning is also supported by the fact the Steller sea lions develop a measurable  $\text{O}_2$  debt over the course of dive bouts (Fahlman et al., 2008), making it likely that blood  $\text{O}_2$  levels are generally lower in dive bouts compared to single dives.

Cardiac parameters were unaffected by dive characteristics (dive type, depth or duration) when the entire dive plus surface recovery

interval was considered. This suggests any physiological impact incurred underwater related to these dive characteristics is accounted for during the immediate post-dive recovery period. Although Steller sea lion  $f_H$  returns quickly to baseline after diving is complete (~30 s), there is likely insufficient inter-dive surface time to permit much recovery within a dive bout (considered inter-dive  $\text{O}_2$  consumption recovery; Fahlman et al., 2008). Positive correlations between measures of surface tachycardia (mean and maximum post-dive  $f_H$ ; Fig. 2) and dive duration imply that an increasing physiological cost, such as an  $\text{O}_2$  debt, is accrued as dive duration is extended.

#### 4.2. Temporal development of diving bradycardia

In theory, the effects of a classic dive response and of eupneic exercise on the cardiovascular system are diametrically opposed. The result of diving is bradycardia coupled with peripheral vasoconstriction, which defends blood pressure as  $f_H$  falls (Scholander, 1940; Irving et al., 1941; Scholander et al., 1942). Conversely, eupneic exercise promotes elevated  $f_H$  and peripheral vasodilation to maximize perfusion of active locomotory tissues, even in divers (Castellini et al., 1985; Butler, 1988; Davis et al., 1991). If apneic exercise creates a compromised (yet present) peripheral perfusion in Steller sea lions driven by locomotory effort, we expect  $f_H$  and activity to track over short time scales.

Instantaneous ODBA and  $f_H$  in single dives (not part of dive bouts) appeared largely uncoupled when compared on a per-second time scale over the course of underwater time (Fig. 3). Both  $f_H$  and ODBA declined during the descent portion of dives;  $f_H$  as a result of dive bradycardia and ODBA due to a predominantly gliding descent (particularly in deep dives). The bottom (foraging) phase of the dives began when sea lions reached the feeding tubes at depth. This occurred almost immediately in 10 m dives and approximately 30 s after submergence in 40 m dives, and was associated with an elevation of ODBA due to an increased exercise output. By contrast, only a slight release of bradycardia was observed during this phase, suggesting that Steller sea lion dive  $f_H$  does not respond to underwater exercise output in a near-instantaneous manner. It has been suggested that limiting blood flow to muscle, as implied by the uncoupling of locomotory activity and  $f_H$ , allows sea lions to fuel this additional locomotory output by drawing down muscle  $\text{O}_2$  stores (Davis et al., 2004). In other words, limited or absent muscle blood flow during apneic exercise could impose the hypoxic conditions necessary in muscle to extract the greatest durations of aerobic energy production via myoglobin (Davis et al., 2004). If this is indeed the case, it suggests that sea lions are either marshalling underwater time so as to surface without exhausting local aerobic reserves, or are shifting towards anaerobic energy production even in these short (<5 min) dives.

Heart rate and ODBA were clearly uncoupled during the dives' ascent phase, where bradycardia is released in anticipation of surfacing (Thompson and Fedak, 1993), and ODBA is relatively constant. This again suggests that the dive response of Steller sea lions (at least in dives of these durations and depths) is not affected by changes in activity level. The characteristic tachycardia in anticipation of surfacing (given that our animals had free access to the water surface, rather than the constraint of locating a breathing hole in fast ice, for example) would be especially beneficial if Steller sea lions do indeed develop a lactate load and an  $\text{O}_2$  debt in swimming muscle. It would promote increased circulation of metabolic end-products and facilitate turnover during the surface interval, as well as deliver remaining blood  $\text{O}_2$  to depleted tissues, thus maximizing the gradient for surface  $\text{O}_2$  uptake (Thompson and Fedak, 1993).

There were several key differences noted between the  $f_H$ /ODBA time courses of 10 m compared to 40 m dives. Shallow (10 m) dives were dominated by the elevated (and variable) instantaneous ODBA indicative of feeding from the two stations at depth. This foraging activity was also predominant in deeper (40 m) dives, although it was

delayed ~30 s, reflecting the time required for the sea lion to descend to the feeding stations. Initial bradycardia upon submergence was greater in 10 m (~64%) relative to 40 m dives (~14%), although a similar degree of bradycardia ultimately developed at both depths. This finding further supports an elevated PaO<sub>2</sub> during the initial phase of the deeper dives, resulting from the pressure effects of a 40 m descent (Lanphier and Rahn, 1963; Qvist et al., 1986, 1993) along with limited locomotory output (Fig. 3B). Ascent tachycardia was prolonged in 40 m dives, a time course which indicates that a release of bradycardia begins at the start of ascent, regardless of dive depth.

#### 4.3. Diving activity and its relationship to mean heart rate over longer time scales

Mean ODBA was elevated by 18% in shallow compared to deep dives. This was partly due to the reduced ODBA noted early in deep dives (Fig. 3B), as negative buoyancy permits a predominantly gliding descent for Steller sea lions, and this descent interval comprised a greater proportion of deeper dives. In addition, 73% of an average shallow dive's duration was bottom time, compared to only 64% of deep dives. These differences could account for a reduced mean activity value over the entire trial.

On the other hand, stroke frequencies were not distributed by dive depth. Flipper stroking provides a good measure of activity and energetic output in some species (Williams et al., 2004), and is presumed to be a major component of ODBA. Our observation of relationships for ODBA but not for stroke frequencies is likely explained by the degree of stroke amplitude modulation and the translation of flipper strokes into surge possible for Steller sea lions (Hindle et al., 2010). Our center-mounted accelerometer had little ability to detect amplitude changes in flipper stroking, and we suspect such changes would be better measured by a device placed directly on the flipper. Neither ODBA nor stroke frequency were distributed by dive type, suggesting that any physiological differences noted between single or bout dives cannot be explained by changes in activity. However, it is noteworthy that a difference in minimum instantaneous  $f_H$  was the limit of the physiological differences we detected.

We noted a significant positive linear relationship between activity (mean ODBA) and mean dive  $f_H$  for 10 m dives only (Fig. 4A). Clearly, control of the dive response in Steller sea lions differs in shallow versus deep dives. The linear dive  $f_H$ -activity relationship documented for shallow dives implies that in this scenario, some vascular compromise between diving and exercise occurs in shallow dives (i.e., some muscle perfusion is maintained). A similar relationship was not observed between diving  $f_H$  and stroke frequency, presumably due to variability of stroke amplitude and the underlying muscle work (and muscle perfusion) not detected by our accelerometer. For deep dives, the lack of a linear  $f_H$ -activity relationship, considered alongside the disparate time course of  $f_H$  and activity suggests an uncoupling of these parameters in Steller sea lions. This is likely mediated by an absence of blood flow to active muscle, suggesting that perhaps more O<sub>2</sub> conservation is necessary in this scenario.

We also documented correlations between underwater activity and  $f_H$  measures during the post-dive period (Fig. 5), which indicates that at least a portion of underwater locomotory activity costs were deferred to the post-dive surface interval. Even for shallow dives in which blood flow to active muscle may occur as a compromise between dive-induced vasoconstriction, and exercise-induced vasodilation, any reduction in perfusion below that demanded for eupneic exercise would result in tissue hypoxia which must be recovered at the surface. This further supports the speculation that locomotory muscles of Steller sea lions become hypoxic during diving, regardless of dive depth. The likely mechanism for this occurrence is a limitation of perfusion to muscle vascular beds, presumably occurring to a lesser degree in shallow dives.

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## Glossary

$f_H$ : heart rate

ODBA: overall dynamic body acceleration

$PaO_2$ : arterial oxygen partial pressure